

# How to prepare



Keep in mind the evaluation criteria=what the evaluators are looking for



Learn from the previous Evaluation Summary Reports



Applications and success rates in the 2021 and 2022 rounds



More lump sums in the next work programme: <https://ec.europa.eu/research/participants/docs/h2020-funding-guide/other/event220519.htm>



Several references to already funded projects → be aware what has already been funded and seek collaborations with them

# Evaluation criteria: *Excellence*

- Clarity and pertinence of the project's **objectives**, and the extent to which the proposed work is ambitious and goes **beyond the state of the art**.
- Soundness of the proposed **methodology**, including the underlying **concepts, models, assumptions, inter-disciplinary approaches**, appropriate consideration of the **gender dimension** in research and innovation content, and the quality of **open science practices**, including sharing and management of research outputs and **engagement of citizens, civil society and end users** where appropriate.



# Excellence

DO's	DON'Ts
Objectives are both <b>realistic and measurable</b> , with multiple appropriate <b>Key Performance Indicators</b> .	Don't include too many objectives, there is a <b>risk of being unfocused</b>
The proposal convincingly details its <b>interdisciplinary nature</b> and how each discipline contributes to the overall objectives.	Don't be too limited in the analysis of the state of the art, it can make <b>your ambition less well demonstrated</b>
The <b>gender dimension</b> is very well represented in the research through a gender working group with specific tasks that explore gender in both technical development initiatives and end outputs.	Don't describe your <b>methods in a superficial way</b> , if the methodology is not sufficiently presented, the proposal lacks credibility
The <b>data management plan</b> is very thoroughly presented, specific to the types and forms of data that will potentially be produced.	Don't <b>exclude any relevant stakeholders</b> , but try to build on a diversified involvement of stakeholders to ensure the relevancy of the proposed work



# Evaluation criteria: *Impact*

- Credibility of the pathways to achieve the **expected outcomes** and **impacts** specified in the work programme, and the likely scale and significance of the **contributions** from the project.
- Suitability and quality of the measures to maximise expected outcomes and impacts, as set out in the **dissemination and exploitation plan**, including communication activities.

# “ *Impact* ”

DO's	DON'Ts
A clear strength is the demonstration of <b>multiple perspectives for each expected impact</b> , such as social, scientific, or economic, as this demonstrates the broad extent of each impact in several different ways, with <b>KPIs to evaluate the effective contribution and impact</b> .	Don't <b>use only qualitative terms</b> to outline the scale and significance of the project's expected outcomes and impacts, they must be <b>quantified</b>
The application <b>clearly describes the pathways</b> to achieve the expected outcomes as well as the destination impacts and <b>links them</b> to the specific objectives of the project and the individual WPs.	Don't <b>present only general communication measures</b> but demonstrate how they will be adapted to reach different audiences and maximize impact.
The dissemination, communication, and exploitation strategies include well-designed and <b>quantifiable data</b> , divided by <b>target groups, methods, and channels</b> .	Don't <b>limit the involvement of important stakeholders</b> in measures to maximize outcomes and impacts.
A very good analysis is provided on how project deliverables can be placed on <b>the market</b> , including main exploitable results, involved partners, and target markets	

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# Evaluation criteria: *Implementation*

- Quality and effectiveness of the **work plan**, assessment of **risks**, and appropriateness of the **effort assigned to work packages**, and the **resources** overall.
- Capacity and **role of each participant**, and the extent to which the consortium as a whole brings together the **necessary expertise**.



# Implementation

DO's	DON'Ts
Present clearly the different <b>areas and skills of each participant</b> and show the <b>inter-and multi-disciplinary</b> character of the proposal and consortium.	Don't be vague in describing <b>the match between activities, deliverables and allocation of resources</b> , it must be entirely convincing to fully demonstrate the appropriateness of the effort and resources assigned to each WP
The overall <b>structure of the work plan</b> is excellent, separating different strands of work, moving to their integration and securing their impact.	Don't miss a detailed <b>description of work</b> in all the WP's
A comprehensive <b>risk analysis</b> is presented, and the proposed measures to mitigate potential risks are mostly suitable.	Don't cover only technical risks, but <b>identify all critical risks</b> and present appropriate management procedures
The <b>work distribution over time</b> is plausible, with associated milestones to enable effective <b>monitoring</b> .	



# Applications and success rates of 2021 Calls (preliminary)

Call deadline 7/10/2021

364 proposals evaluated

Grant signature 7/6/2021

81 Finnish Participants

10 Finnish Participants in Main list proposals (1 on reserve list)

Topic	Proposals evaluated	Retained for funding (preliminary)	Success Rate (preliminary)
HORIZON-CL2-2021-DEMOCRACY-01-01	12	3	25 %
HORIZON-CL2-2021-DEMOCRACY-01-02	10	3	30 %
HORIZON-CL2-2021-DEMOCRACY-01-03	25	4	16 %
HORIZON-CL2-2021-DEMOCRACY-01-04	9	3	33 %
HORIZON-CL2-2021-DEMOCRACY-01-05	9	3	33 %
<b>DEMOCRACY IN TOTAL</b>	<b>65</b>	<b>16</b>	<b>25 %</b>
HORIZON-CL2-2021-HERITAGE-01-01	23	3	13 %
HORIZON-CL2-2021-HERITAGE-01-02	27	3	11 %
HORIZON-CL2-2021-HERITAGE-01-03	31	3	10 %
HORIZON-CL2-2021-HERITAGE-01-04	92	5	5 %
<b>HERITAGE IN TOTAL</b>	<b>173</b>	<b>14</b>	<b>8 %</b>
HORIZON-CL2-2021-TRANSFORMATIONS-01-01	3	1	33 %
HORIZON-CL2-2021-TRANSFORMATIONS-01-02	11	3	27 %
HORIZON-CL2-2021-TRANSFORMATIONS-01-03	13	3	23 %
HORIZON-CL2-2021-TRANSFORMATIONS-01-04	15	3	20 %
HORIZON-CL2-2021-TRANSFORMATIONS-01-05	66	4	6 %
HORIZON-CL2-2021-TRANSFORMATIONS-01-06	6	3	50 %
HORIZON-CL2-2021-TRANSFORMATIONS-01-07	12	2	17 %
<b>TRANSFORMATIONS IN TOTAL</b>	<b>126</b>	<b>19</b>	<b>15 %</b>



# Applications and success rates of 2022: DEMOCRACY and HERITAGE Calls (tentative)

Call deadline 20/4

Total of 745 proposals

Information to applicants 20/9

Grant signature 21/12

Topic	Number of submissions	To be funded TENTATIVE	Success rate TENTATIVE
HORIZON-CL2-2022-DEMOCRACY-01-01	34	3	9 %
HORIZON-CL2-2022-DEMOCRACY-01-02	50	3	6 %
HORIZON-CL2-2022-DEMOCRACY-01-03	19	3	16 %
HORIZON-CL2-2022-DEMOCRACY-01-04	51	3	6 %
HORIZON-CL2-2022-DEMOCRACY-01-05	20	3	15 %
HORIZON-CL2-2022-DEMOCRACY-01-06	14	3	21 %
HORIZON-CL2-2022-DEMOCRACY-01-07	17	3	18 %
HORIZON-CL2-2022-DEMOCRACY-01-08	12	3	25 %
HORIZON-CL2-2022-DEMOCRACY-01-09	24	3	13 %
<b>DEMOCRACY IN TOTAL</b>	<b>241</b>	<b>27</b>	<b>11 %</b>
HORIZON-CL2-2022-HERITAGE-01-01	12	1	8 %
HORIZON-CL2-2022-HERITAGE-01-02	55	3	5 %
HORIZON-CL2-2022-HERITAGE-01-03	23	3	13 %
HORIZON-CL2-2022-HERITAGE-01-04	67	3	4 %
HORIZON-CL2-2022-HERITAGE-01-05	14	3	21 %
HORIZON-CL2-2022-HERITAGE-01-06	14	3	21 %
HORIZON-CL2-2022-HERITAGE-01-07	19	3	16 %
HORIZON-CL2-2022-HERITAGE-01-08	50	3	6 %
HORIZON-CL2-2022-HERITAGE-01-09	37	3	8 %
HORIZON-CL2-2022-HERITAGE-01-10	44	2	5 %
<b>HERITAGE IN TOTAL</b>	<b>335</b>	<b>27</b>	<b>8 %</b>

# Applications and success rates of 2022 TRANSFORMATIONS Call (tentative)

Call deadline 20/4

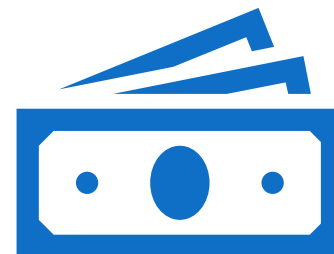
Total of 761 proposals

Information to applicants 20/9

Grant signature 21/12

Topic	Number of submissions	To be funded TENTATIVE	Success rate TENTATIVE
HORIZON-CL2-2022-TRANSFORMATIONS-01-01	21	3	14 %
HORIZON-CL2-2022-TRANSFORMATIONS-01-02	11	3	27 %
HORIZON-CL2-2022-TRANSFORMATIONS-01-03	16	3	19 %
HORIZON-CL2-2022-TRANSFORMATIONS-01-04	21	3	14 %
HORIZON-CL2-2022-TRANSFORMATIONS-01-05	22	3	14 %
HORIZON-CL2-2022-TRANSFORMATIONS-01-06	18	3	17 %
HORIZON-CL2-2022-TRANSFORMATIONS-01-07	21	3	14 %
HORIZON-CL2-2022-TRANSFORMATIONS-01-08	23	3	13 %
HORIZON-CL2-2022-TRANSFORMATIONS-01-09	6	3	50 %
HORIZON-CL2-2022-TRANSFORMATIONS-01-10	10	3	30 %
<b>TRANSFORMATIONS IN TOTAL</b>	<b>169</b>	<b>30</b>	<b>18 %</b>

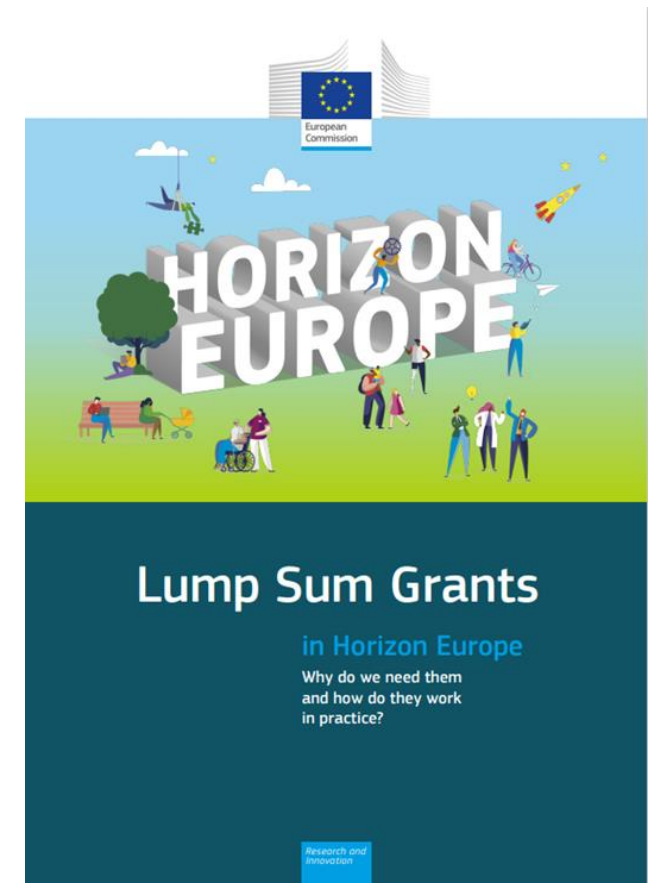
# How to prepare: Lump Sums



- More lump sums in the next work programme
- There are two types of lump sum options:
  1. Option 1 (so far only ERC PoC):
    - The call for proposals defines a fixed lump sum.
    - The budget requested in your proposal must be equal to this fixed lump sum.
    - Your proposal must describe the resources mobilised for this amount.
  2. Option 2:
    - You define the lump sum in your proposal.
    - In setting the lump sum, you are free to define the amount necessary to carry out your project.
    - The lump sum chosen must be justified by the resources mobilised.
- Event on lump sums: <https://ec.europa.eu/research/participants/docs/h2020-funding-guide/other/event220519.htm>

# Guidance and information available on lump sums

- All information in one page on the F&T Portal – [Lump sum page](#) just published!
- [General presentation](#) – Lump sum funding: what do I need to know?
- [Quick guide](#) – Lump sum grants: Why do we need them? How do they work?
- Reference documents
  - [Model Grant Agreement Lump Sum](#)
  - [Decision authorising the use of lump sum contributions under the Horizon Europe Programme](#)
- Studies
  - [Lump sum funding works in practice – assessment of the pilot in Horizon 2020](#)  
European Commission assessment of lump sum funding (October 2021)
  - [A reimbursement system based on a fixed lump sum: is it the right tool for the EU Framework Programme for Research?](#)  
European Parliament (STOA) study on lump sums in Horizon 2020 (May 2022)



# How to prepare: Cooperate/take into account previously funded projects

- Previous calls: Be aware of the previous calls in “your destination”
- Ongoing projects: [Projects & Results \(europa.eu\)](https://ec.europa.eu/research/projects-and-results-portal/)

The screenshot displays the Horizon Dashboard website with the following sections:

- Horizon Dashboard**: Described as an intuitive and interactive knowledge platform. It offers:
  - Easy access to overview and detailed information on proposals, funded projects and project results, notably reported IPRs and scientific publications,
  - Views on specific aspects e.g. the Seal of Excellence, the European Innovation Council
  - Intuitive functions for filtering and drilling down, allowing analysis by geographical location, research thematic area, organization types etc.
  - Functions for data export, story-building and bookmarking – log in here to access full Dashboard functionalities
- Horizon Project Results**: Explore the wealth of EU funded research project results as they are presented by their own creators!
- Horizon Results Booster**: The Booster addresses projects eager to go beyond their Dissemination and Exploitation (D&E) obligations under Horizon projects.
- R&I Success Stories**: Find out about the most recent EU Research success stories. From answers to climate change to dialogue about historical memory. Read about the breakthroughs and discoveries.
- H2020 and FP7 projects**: Horizon 2020 and FP7 project information and work report summaries are available on CORDIS.

# Who to contact for further information

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